

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.
72611-003

In Re Application Of:
Ahmad Fakheri

Serial No.

Filing Date
herewith

Examiner

Group Art Unit

Title:
Finned Helicoidal Heat Exchanger

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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Information Disclosure Statement – PTO 1449 (Modified)

Sheet 1 of 1

INFORMATION DISCLOSURES STATEMENT BY APPLICANT (use as many sheets as necessary)										Docket Number (Optional) 72611-003		Application Number		
										Applicant Ahmad Fakheri				
										Filing Date herewith		Group Art Unit		
U.S. PATENT DOCUMENTS														
EXAMINER INITIAL	REF	DOCUMENT NUMBER							ISSUE DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		1	7	8	5	1	5	9	12-16-1930	Ullman				
		3	1	3	8	2	0	1	6-23-1964	Huet				
		3	2	9	4	1	6	2	12-27-1966	Loehlein et al.				
		3	7	6	6	9	7	9	10-23-1973	Gelbard et al.				
		3	8	0	2	4	9	9	4-9-1974	Garcea				
		3	8	4	5	6	3	7	11-5-1974	Shepherd				
		4	0	1	1	9	0	4	3-15-1977	Hope et al.				
		4	1	7	5	6	1	7	11-27-1979	Hahn et al.				
		4	2	8	6	6	5	5	9-1-1981	Trojani				
		4	3	2	1	9	6	3	3-30-1982	Bowden				
		4	4	2	8	4	0	3	1-31-1984	Lee et al.				
		4	5	3	8	6	7	7	9-3-1985	Bódás et al.				
		4	6	5	7	0	7	4	4-14-1987	Tomita et al.				
		4	4	9	7	3	1	1	2-5-1985	Brandenburg, Jr.				
		5	1	7	0	8	4	2	12-15-1992	Kato et al.				
		US 2002/0148600 A1							10-17-2002	Bosch et al.				
FOREIGN PATENT DOCUMENTS														
	REF	DOCUMENT NUMBER							ISSUE DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)														
EXAMINER										DATE CONSIDERED				

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to Mail Stop Comments – Patents, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.